

APPENDIX 1

GENERAL FUND REVENUE ACCOUNT - MEDIUM-TERM FINANCIAL PROJECTION (AUGUST 2008)

| | Base Budget 2008/09 £ | Projected Out-turn 2008/09 £ | Projection 2009/10 £ | Projection 2010/11 £ | Projection 2011/12 £ | Projection 2012/13 £ | Projection 2013/14 £ |
|--|--------------------------|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Net Expenditure on Services (per Appendix 2) | 11,715,202 | 11,840,362 | 12,236,344 | 12,450,816 | 12,864,981 | 13,198,698 | 13,598,478 |
| Provisions | | | | | | | |
| Bad and Doubtful Debts | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Pay and Grading Review | 145,000 | 90,000 | 145,000 | 185,000 | 33,000 | 34,000 | 35,000 |
| Additional Support for the Voluntary and Community Sector | 50,000 | 25,000 | 50,000 | 25,000 | 0 | 0 | 0 |
| Addressing Grass Verge Damage from Off-Street Parking | 0 | 50,000 | 0 | 0 | 0 | 0 | 0 |
| Contribution to Vehicle Renewals Fund (pending service review) | | | | | | | |
| Growth of District | | | | | | | |
| Head of Service Pay Review | | | | | | | |
| Deficit on Civil Enforcement Parking (may be a minor deficit) | | | | | | | |

Known Changes to the Base Budget

| | | | | | | | |
|---|---------|---------|---------|---------|----------|----------|----------|
| Industrial Action (July 08) | 0 | -12,500 | 0 | 0 | 0 | 0 | 0 |
| Incremental Salary Increases and Expired Posts | 0 | 0 | 8,910 | -1,080 | -20,200 | -20,200 | -20,200 |
| 05/06 Budget - Pay and Grading Support falling out | 0 | 0 | -10,000 | -10,000 | -10,000 | -10,000 | -10,000 |
| One-off costs of In-vessel Composting falling out (DSO) | -50,000 | -50,000 | -50,000 | -50,000 | -50,000 | -50,000 | -50,000 |
| Swadlincote Woodlands - Section 106 Funding ends | 0 | 0 | 0 | -57,450 | -59,170 | -60,950 | -62,779 |
| District Election (May 2011) | 0 | 0 | 0 | 0 | 100,000 | 0 | 0 |
| Statutory Place Survey (2008 and every 2 years) | 20,000 | 20,000 | 0 | 20,000 | 0 | 20,000 | 0 |
| Corporate Services Restructure (November 07) | -4,600 | -4,005 | -32,885 | -33,789 | -34,719 | -35,673 | -36,654 |
| Central Printing Unit Restructure (June 08) | -15,250 | -1,070 | -12,561 | -13,340 | -14,140 | -14,962 | -30,712 |
| Planning Services Restructure (February 08) | 0 | 10,420 | -2,092 | -2,345 | -2,604 | -9,948 | -10,222 |
| Democratic Services Restructure (October 2007) | 12,400 | 12,400 | 12,770 | 13,150 | 13,540 | 13,950 | 14,350 |
| Service Developments (approved in 08/09 budget-round) | 0 | 0 | 38,500 | -64,000 | -148,000 | -148,000 | -148,000 |
| Sharpes Pottery (Feb 08) - amount b/fwd from 07/08 | 0 | 8,390 | 0 | 0 | 0 | 0 | 0 |
| Installation of Sceptic Tank - Eureka Park Pavillion | 0 | 19,000 | 0 | 0 | 0 | 0 | 0 |
| Inspection of Strategic Housing Services | 0 | 18,000 | 0 | 0 | 0 | 0 | 0 |
| Drainage Improvements at Darklands Road Depot | 0 | 14,500 | 0 | 0 | 0 | 0 | 0 |
| Midway Fish Ponds | 0 | 17,500 | 0 | 0 | 0 | 0 | 0 |
| Sharpes Pottery (as approved by Council 3rd July 08) | 0 | 60,000 | 0 | 0 | 0 | 0 | 0 |
| Amounts b/fwd from 08/09 (as approved June 08) | 0 | 115,450 | 0 | 0 | 0 | 0 | 0 |

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| Base Budget 2008/09 £ | Projected Out-turn 2008/09 £ | Projection 2009/10 £ | Projection 2010/11 £ | Projection 2011/12 £ | Projection 2012/13 £ | Projection 2013/14 £ |
|-----------------------------|---------------------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 11,912,752 | 12,273,447 | 12,423,986 | 12,501,962 | 12,712,688 | 12,956,915 | 13,319,262 |

OVERALL NET REVENUE EXPENDITURE (1)

| | Base Budget 2008/09 £ | Projected Out-turn 2008/09 £ | Projection 2009/10 £ | Projection 2010/11 £ | Projection 2011/12 £ | Projection 2012/13 £ | Projection 2013/14 £ |
|--------------------------|-----------------------------|---------------------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| FINANCING | | | | | | | |
| General Government Grant | 6,942,136 | 6,942,136 | 7,134,878 | 7,313,887 | 7,460,165 | 7,609,368 | 7,761,555 |
| Council Tax | 4,385,550 | 4,385,550 | 4,564,414 | 4,750,574 | 4,944,326 | 5,145,981 | 5,355,859 |

Contributions from Earmarked Reserves

| | | | | | | | |
|--------------------------------------|--------|---------|--------|--------|--------|--------|--------|
| Section 106 - Swadlincote Woodlands | 54,160 | 54,160 | 55,780 | 0 | 0 | 0 | 0 |
| Section 106 - Open Space Maintenance | 47,545 | 47,545 | 48,970 | 50,440 | 51,950 | 53,510 | 55,115 |
| Committed Expenditure Reserve | 0 | 115,450 | 0 | 0 | 0 | 0 | 0 |

TOTAL FINANCING (2)

| | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|
| | 11,429,391 | 11,544,841 | 11,804,042 | 12,114,901 | 12,456,441 | 12,808,859 | 13,172,530 |
|--|------------|------------|------------|------------|------------|------------|------------|

Amount required from General Reserve (1 less 2 above)

| | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|
| | 483,361 | 728,606 | 619,944 | 387,061 | 256,247 | 148,056 | 146,732 |
|--|---------|---------|---------|---------|---------|---------|---------|

GENERAL FUND RESERVE

| | | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|----------|
| Balance at start of year (1st April) | 2,425,059 | 3,093,166 | 2,364,560 | 1,744,616 | 1,357,555 | 1,101,309 | 953,252 |
| Less draw down as above | -483,361 | -728,606 | -619,944 | -387,061 | -256,247 | -148,056 | -146,732 |

BALANCE AT END OF YEAR (31st MARCH)

| | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|---------|---------|
| | 1,941,698 | 2,364,560 | 1,744,616 | 1,357,555 | 1,101,309 | 953,252 | 806,521 |
|--|-----------|-----------|-----------|-----------|-----------|---------|---------|

ANALYSIS OF GENERAL FUND BASE BUDGET & FINANCIAL PROJECTION BY COST TYPE

| Account(T) | Base Budget 2008/09 £ | Projected Out-turn 2008/09 £ | Projection 2009/10 £ | Projection 2010/11 £ | Projection 2011/12 £ | Projection 2012/13 £ | Projection 2013/14 £ |
|--|--------------------------|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Expenditure | | | | | | | |
| Employees (including training and insurance) | 10,935,736 | 10,910,086 | 11,201,170 | 11,495,886 | 11,883,210 | 12,199,297 | 12,556,100 |
| Premises (including utilities and maintenance) | 1,091,268 | 1,091,268 | 1,151,285 | 1,182,398 | 1,212,912 | 1,244,276 | 1,276,516 |
| Transport (including fuel, vehicle repairs and travelling) | 757,657 | 798,372 | 831,475 | 859,219 | 882,851 | 907,133 | 932,083 |
| Supplies and Services | 6,680,298 | 6,718,466 | 6,844,180 | 6,954,214 | 7,086,765 | 7,223,004 | 7,363,033 |
| Housing Benefits | 14,427,650 | 14,427,650 | 14,427,650 | 14,427,650 | 14,427,650 | 14,427,650 | 14,427,650 |
| Interest and Leasing charges | 637,312 | 630,862 | 379,127 | 312,822 | 277,077 | 244,269 | 240,550 |
| Minimum Revenue Provision | 306,000 | 306,000 | 318,000 | 330,000 | 341,000 | 352,000 | 363,000 |
| | 34,835,921 | 34,882,704 | 35,152,887 | 35,562,188 | 36,111,465 | 36,597,629 | 37,158,932 |
| Gross Expenditure | | | | | | | |

| Account(T) | Base Budget 2008/09 £ | Projected Out-turn 2008/09 £ | Projection 2009/10 £ | Projection 2010/11 £ | Projection 2011/12 £ | Projection 2012/13 £ | Projection 2013/14 £ |
|--|--------------------------|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Income | | | | | | | |
| Housing Benefit Subsidy (including Administration) | -14,903,560 | -14,903,560 | -14,895,015 | -14,899,152 | -14,902,244 | -14,905,398 | -14,908,614 |
| Fees, Charges and Reimbursements | -6,455,700 | -6,357,050 | -6,178,909 | -6,338,167 | -6,415,381 | -6,509,796 | -6,607,501 |
| Internal Recharges (to HRA and Capital) | -1,761,459 | -1,781,732 | -1,842,619 | -1,874,053 | -1,928,859 | -1,983,738 | -2,044,339 |

| | | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| NET EXPENDITURE ON GENERAL FUND SERVICES | 11,715,202 | 11,840,362 | 12,236,344 | 12,450,816 | 12,864,981 | 13,198,698 | 13,598,478 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|

ANALYSIS OF INFLATION FACTORS

09/10 10/11 11/12 12/13 13/14

EXPENDITURE**Employees**

| | | | | | |
|--|-------|-------|-------|-------|-------|
| Salaries/Wages (including Overtime and Allowances) | 2.75% | 3.00% | 3.00% | 3.00% | 3.00% |
| Employer's NI | 2.75% | 3.00% | 3.00% | 3.00% | 3.00% |
| Pension Contributions | 2.75% | 3.00% | 3.00% | 3.00% | 3.00% |
| Car Allowances | 2.75% | 3.00% | 3.00% | 3.00% | 3.00% |
| Travelling and Subsistence | 2.75% | 3.00% | 3.00% | 3.00% | 3.00% |
| Training Expenses | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |

Premises

| | | | | | |
|---|--------|-------|-------|-------|-------|
| Repairs and Maintenance (Building Industry) | 4.30% | 4.30% | 2.75% | 2.75% | 2.75% |
| Planned Maintenance (Contract Basis) | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Grounds Maintenance | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Gas | 0.00% | 2.75% | 2.75% | 2.75% | 2.75% |
| Electricity | 20.00% | 2.75% | 2.75% | 2.75% | 2.75% |
| Water | 6.50% | 2.75% | 2.75% | 2.75% | 2.75% |
| Oil and Solid Fuel | 7.10% | 2.75% | 2.75% | 2.75% | 2.75% |
| NNDR | 3.90% | 2.75% | 2.75% | 2.75% | 2.75% |
| Rents | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |

Transport

| | | | | | |
|-------------------------|-------|-------|-------|-------|-------|
| Repairs and Spare Parts | 5.00% | 5.00% | 2.75% | 2.75% | 2.75% |
| Fuels and Lubricants | 5.00% | 2.75% | 2.75% | 2.75% | 2.75% |
| Licenses | 5.20% | 2.75% | 2.75% | 2.75% | 2.75% |

Supplies and Services

| | | | | | |
|--|--------|--------|-------|-------|-------|
| Subscriptions | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Contracts and Maintenance Agreements | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Cleaning (including Materials) and Protective Clothing | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Vending | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Members' Allowances | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Assistance to Voluntary Groups | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Audit Fees | 1.00% | 1.75% | 2.75% | 2.75% | 2.75% |
| Financial Services - Bank/Giro/PO Charges, etc. | -1.00% | -5.00% | 2.75% | 2.75% | 2.75% |
| Telephones | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Books, Newspapers, Stationery, Advertising | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Printing, incl. Consumables | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Postage | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Furniture and Equipment | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |

Transfer/Agency Payments

| | | | | | |
|----------------------|-------|-------|-------|-------|-------|
| Concurrent Functions | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| Bus Passes | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |

Capital Financing

| | | | | | |
|--------------------------------|-------|-------|-------|-------|-------|
| Leasing (per leasing Schedule) | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
|--------------------------------|-------|-------|-------|-------|-------|

Insurances

| | | | | | |
|----------------|-------|--------|-------|-------|-------|
| All categories | 3.60% | -5.00% | 3.50% | 3.50% | 3.50% |
|----------------|-------|--------|-------|-------|-------|

INCOME**Fees, Charges, Sales and Misc Income**

| | | | | | |
|-----------------|-------|-------|-------|-------|-------|
| Rents | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |
| All other heads | 2.75% | 2.75% | 2.75% | 2.75% | 2.75% |

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved PLANNED and COMMITTED EXPENDITURE

| | 2008/09 | 2009/10 | 2010/11 | 2011/12 | 2012/13 | 2013/14 | TOTAL |
|---|------------------|------------------|------------------|------------------|------------------|------------------|-------------------|
| | £ | £ | £ | £ | £ | £ | £ |
| COUNCIL HOUSE IMPROVEMENTS | | | | | | | |
| Capital Improvements | 2,128,250 | 1,951,000 | 1,989,000 | 2,049,000 | 2,110,000 | 2,174,000 | 12,401,250 |
| Sheltered Housing Vision | 265,000 | 219,712 | | | | | 484,712 |
| Disabled Adaptations | 160,000 | | | | | | 160,000 |
| Garage Sites | 70,000 | | | | | | 70,000 |
| Repayment of Covenants (Council House Improvements) | 289,700 | 306,250 | 331,080 | 390,800 | | | 1,317,830 |
| Total Expenditure | 2,912,950 | 2,476,962 | 2,320,080 | 2,439,800 | 2,110,000 | 2,174,000 | 14,433,792 |

Financed From

| | | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|------------------|-------------------|
| Major Repairs Allowance (Government Grant) | 1,875,000 | 1,931,000 | 1,989,000 | 2,049,000 | 2,110,000 | 2,174,000 | 12,128,000 |
| Major Repairs Reserve (Money b/fwd) | 253,250 | 20,000 | | | | | 273,250 |
| Capital Reserve | 265,000 | 219,712 | 0 | 0 | 0 | 0 | 484,712 |
| General Capital Receipts | 289,700 | 306,250 | 331,080 | 390,800 | | | 1,317,830 |
| Capital Receipts - Windfall Element | 230,000 | | | | | | 230,000 |
| Total Financing | 2,912,950 | 2,476,962 | 2,320,080 | 2,439,800 | 2,110,000 | 2,174,000 | 14,433,792 |

DISABLED FACILITY GRANTS (DFG's)

| | | | | | | | |
|-------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|
| Allocation | 431,920 | 166,000 | 166,000 | 166,000 | 166,000 | 166,000 | 1,261,920 |
|-------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|

Financed From

| | | | | | | | |
|-------------------------------------|---------|---------|---------|---------|---------|---------|---------|
| Government Grant (Ring-fenced) | 255,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 755,000 |
| Earmarked Reserve | 10,920 | | | | | | 10,920 |
| General Capital Receipts | 66,000 | 66,000 | 66,000 | 66,000 | 66,000 | 66,000 | 396,000 |
| Capital Receipts - Windfall Element | 100,000 | | | | | | 100,000 |

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved
 2008/09 2009/10 2010/11 2011/12 2012/13 2013/14 TOTAL
 £ £ £ £ £ £ £

| | | | | | | | |
|--------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|
| Total Financing - DFG's | 431,920 | 166,000 | 166,000 | 166,000 | 166,000 | 166,000 | 1,261,920 |
|--------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|

OTHER HOUSING INVESTMENT

| | | | | | | | |
|--------------------------------|---------|--|--|--|--|--------|---------|
| Decent Homes - Private Sector | 659,600 | | | | | | 659,600 |
| Housing Needs Assessment | 25,500 | | | | | | 25,500 |
| Statutory Housing Needs Survey | 50,000 | | | | | 60,000 | 110,000 |

| | | | | | | | |
|--------------------------|----------------|----------|----------|----------|----------|---------------|----------------|
| TOTAL EXPENDITURE | 735,100 | 0 | 0 | 0 | 0 | 60,000 | 795,100 |
|--------------------------|----------------|----------|----------|----------|----------|---------------|----------------|

Financed From

| | | | | | | | |
|---------------------------|---------|---|---|---|--|--------|---------|
| Government Grant | 642,000 | | | | | | 642,000 |
| Windfall Capital Receipts | 43,100 | | | | | | 43,100 |
| General Capital Receipts | 50,000 | 0 | 0 | 0 | | 60,000 | 110,000 |

| | | | | | | | |
|---------------------|----------------|----------|----------|----------|----------|---------------|----------------|
| TOTAL INCOME | 735,100 | 0 | 0 | 0 | 0 | 60,000 | 795,100 |
|---------------------|----------------|----------|----------|----------|----------|---------------|----------------|

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved PLANNED and COMMITTED EXPENDITURE

| | 2008/09 | 2009/10 | 2010/11 | 2011/12 | 2012/13 | 2013/14 | TOTAL |
|---|---------|---------|---------|---------|---------|---------|---------|
| | £ | £ | £ | £ | £ | £ | £ |
| GENERAL FUND INVESTMENT PROGRAMME | | | | | | | |
| COMMUNITY SERVICES | | | | | | | |
| Hilton Village Plan and Community Facilities, etc | 112,000 | | | | | | 112,000 |
| Provision of Youth and Play Facilities (Play and Active Projects) | 164,300 | 224,000 | 224,000 | | | | 612,300 |
| Community Partnership Scheme | 274,490 | | | | | | 274,490 |
| Contribution to Etwall Leisure Centre Development | 350,000 | | | | | | 350,000 |

ENVIRONMENTAL AND DEVELOPMENT SERVICES

| | | | | | | | |
|---|---------|---------|--|--|--|--|---------|
| EMAS | 10,000 | | | | | | 10,000 |
| Town Centre Improvements | 17,450 | | | | | | 17,450 |
| Partnership Schemes in Conservation Areas | 145,750 | 100,000 | | | | | 245,750 |

PROPERTY and OTHER ASSETS

| | | | | | | | |
|---|---------|---------|---------|---------|---------|---------|-----------|
| Repairs to Village Halls and Community Facilities | 50,000 | 40,550 | | | | | 90,550 |
| Improvements to Civic Offices | 750 | | | | | | 750 |
| Public Buildings - Planned Maintenance Programme | 125,850 | 100,000 | | | | | 225,850 |
| Vehicles - Contribution to Renewals Fund | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 250,000 | 1,375,000 |
| Civic Car | | 20,000 | | | | | 20,000 |
| Repayment of Covenants | 200,300 | 223,750 | 68,920 | 81,345 | | | 574,315 |

TOTAL EXPENDITURE - GENERAL FUND

| | | | | | | |
|------------------|----------------|----------------|----------------|----------------|----------------|------------------|
| 1,675,890 | 933,300 | 517,920 | 306,345 | 225,000 | 250,000 | 3,908,455 |
|------------------|----------------|----------------|----------------|----------------|----------------|------------------|

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved PLANNED and COMMITTED EXPENDITURE

| | 2008/09 | 2009/10 | 2010/11 | 2011/12 | 2012/13 | 2013/14 | TOTAL |
|---|------------------|----------------|----------------|----------------|----------------|----------------|------------------|
| | £ | £ | £ | £ | £ | £ | £ |
| Financed From | | | | | | | |
| Earmarked Reserve | 10,000 | | | | | | 10,000 |
| Business Improvement Grant | 300,000 | | | | | | 300,000 |
| External Contributions (SEE BELOW) | 253,650 | 220,000 | 161,000 | | | | 634,650 |
| Section 106 Planning Agreements (SEE BELOW) | 112,000 | | | | | | 112,000 |
| General Capital Receipts | 1,000,240 | 713,300 | 356,920 | 306,345 | 225,000 | 250,000 | 2,851,805 |
| TOTAL INCOME - GENERAL FUND | 1,675,890 | 933,300 | 517,920 | 306,345 | 225,000 | 250,000 | 3,908,455 |

External Contributions

| | | | | | | | |
|---|---------|---------|---------|--|--|--|---------|
| Youth and Play Facilities | 160,000 | 160,000 | 161,000 | | | | 481,000 |
| Town Centre Improvements | 17,450 | | | | | | 17,450 |
| Partnership Schemes in Conservation Areas | 76,200 | 60,000 | | | | | 136,200 |

Section 106 Funding

| | | | | | | | |
|---|---------|--|--|--|--|--|---------|
| Hilton Village Plan and Community Facilities, etc | 112,000 | | | | | | 112,000 |
|---|---------|--|--|--|--|--|---------|

TOTAL EXPENDITURE - ALL SCHEMES

| | | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|------------------|-------------------|
| TOTAL EXPENDITURE - ALL SCHEMES | 5,755,860 | 3,576,262 | 3,004,000 | 2,912,145 | 2,501,000 | 2,650,000 | 20,399,267 |
|--|------------------|------------------|------------------|------------------|------------------|------------------|-------------------|

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved **PLANNED and COMMITTED EXPENDITURE**

| | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|-------|
| | 2008/09 | 2009/10 | 2010/11 | 2011/12 | 2012/13 | 2013/14 | TOTAL |
| | £ | £ | £ | £ | £ | £ | £ |

ANALYSIS OF GENERAL CAPITAL RECEIPTS

| | | | | | | | |
|---|------------------|----------------|----------------|-----------------|-----------------|-----------------|-----------------|
| Balance b/fwd | 2,794,966 | 1,579,776 | 659,226 | 235,226 | -197,919 | -158,919 | -158,919 |
| Add: Projected New Receipts (Council House Sales) | 165,000 | 165,000 | 330,000 | 330,000 | 330,000 | 330,000 | 330,000 |
| Add: New General Fund Disposals (to-date) | 25,750 | | | | | | |
| Less - Amount required to Fund Council Housing | -289,700 | -306,250 | -331,080 | -390,800 | 0 | 0 | 0 |
| Less - Amount required to Fund GFund Programme | -1,000,240 | -713,300 | -356,920 | -306,345 | -225,000 | -250,000 | -250,000 |
| Less - Amount required to Fund DFG's | -66,000 | -66,000 | -66,000 | -66,000 | -66,000 | -66,000 | -66,000 |
| Less - Amount required to Fund Other Housing | -50,000 | 0 | 0 | 0 | 0 | 0 | -60,000 |
| Balance c/fwd | 1,579,776 | 659,226 | 235,226 | -197,919 | -158,919 | -158,919 | -204,919 |

ANALYSIS OF WINDFALL RECEIPTS

| | | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Balance b/fwd | 674,050 | 300,950 | 300,950 | 300,950 | 300,950 | 300,950 | 300,950 |
| Less - Amount required to Fund Council Housing | -230,000 | | | | | | |
| Less - Amount required to Fund DFG's | -100,000 | | | | | | |
| Less - Amount required to Fund Other Housing | -43,100 | | | | | | |
| Balance c/fwd | 300,950 | 300,950 | 300,950 | 300,950 | 300,950 | 300,950 | 300,950 |

CAPITAL RESERVE (Low Cost Affordable Housing)

| | | | | | | | |
|--|----------------|----------|----------|----------|----------|----------|----------|
| Balance b/fwd | 484,712 | 219,712 | 0 | 0 | 0 | 0 | 0 |
| Less - Amount required to Fund Council Housing | -265,000 | -219,712 | | | | | |
| Balance c/fwd | 219,712 | 0 | 0 | 0 | 0 | 0 | 0 |

APPENDIX 4

CAPITAL INVESTMENT and FINANCING TO 2014

Approved
 2008/09 £ 2009/10 £ 2010/11 £ 2011/12 £ 2012/13 £ 2013/14 £ TOTAL £

GROWTH POINT SCHEMES 2008/09 (SDDC Cost)

| | |
|---|---------|
| Etwell Leisure Centre - Outdoor Playing Surface | 250,000 |
| Hilton Village Hall Extension - Café and Dental Surgery | 25,000 |
| Hilton Multi Games Facility | 50,000 |
| Feasibility Study for Melbourne Sports Facilities | 30,000 |
| Further Upgrade of Rolleston Forestry Centre | 50,000 |
| Chestnut Avenue Recreation Facilities, Midway | 50,000 |
| Woodville to Swadlincote Regeneration Route | 150,000 |
| Burton/Swadlincote Bus Quality Partnership | 80,000 |
| Burton/Swadlincote Stop Improvements | 50,000 |

TOTAL - GROWTH POINT SCHEMES

735,000

LIST OF PRIORITY CAPITAL INVESTMENT SCHEMES (GENERAL)

| PROJECT | Score (%) | NOTES |
|--|-----------|--|
| Neighbourhood Risk Assessments and Physical Works | 67 | 25% external funding |
| Youth Facilities Programme | 64 | Assuming external contributions of £140,000 |
| Safer Homes Burglar Alarm Scheme | 62 | One-off in 2008/09 |
| Additional Funding for Disabled Facility Grants | 61 | One-off in 2008/09 |
| Community Partnership Scheme | 61 | £100,000 per year to continue existing scheme |
| Midway Fishponds | 37 | One-off works |
| New Cemetery Space in Etwell and Urban Core | 37 | Purchase of land (if identified) |
| Aston on Trent - Surface Water Culvert Study | 27 | Feasibility study only |
| Surface Water Balancing - Hatton | 23 | Feasibility scheme followed by a series of works |
| Addressing Grass Verge Damage - Off Street Parking (Pilot Study) | 4 | |

TOTAL CAPITAL SCHEMES

1,305,000

HOUSING REVENUE ACCOUNT - BASE BUDGET and UPDATED FINANCIAL PROJECTION to 2018 (August 2008)

| | Base Budget 2008/09 | Projected Out-turn 2008/09 | Projection 2009/10 | Projection 2010/11 | Projection 2011/12 | Projection 2012/13 | Projection 2013/14 | Projection 2014/15 | Projection 2015/16 | Projection 2016/17 | Projection 2017/18 |
|---|---------------------|----------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | £ | £ | £ | £ | £ | £ | £ | £ | £ | £ | £ |
| Income | | | | | | | | | | | |
| Rent from Dwellings | 9,261,238 | 9,279,100 | 9,697,900 | 10,105,400 | 10,511,600 | 10,930,400 | 11,583,000 | 11,833,200 | 12,340,400 | 12,878,400 | 13,281,600 |
| Other Rents | 95,684 | 95,684 | 98,080 | 100,530 | 103,040 | 105,620 | 108,260 | 110,970 | 113,740 | 116,580 | 119,490 |
| Interest - Sale of Council Houses | 1,407 | 1,407 | 1,057 | 707 | 350 | - | - | - | - | - | - |
| Other Fees & Charges | 81,862 | 81,862 | 83,900 | 86,000 | 88,150 | 90,350 | 92,610 | 94,930 | 97,300 | 99,730 | 102,220 |
| Other Recharges | 10,250 | 10,250 | 10,510 | 10,770 | 11,040 | 11,320 | 11,600 | 11,880 | 12,190 | 12,490 | 12,800 |
| Interest Received from General Fund | 155,170 | 117,858 | 138,017 | 146,368 | 150,815 | 143,908 | 132,534 | 121,246 | 104,267 | 76,729 | 43,810 |
| Supporting People Grant | 370,000 | 400,000 | 408,000 | 416,160 | 424,480 | 432,970 | 441,630 | 450,460 | 459,470 | 468,660 | 478,030 |
| Total Income | 9,975,601 | 9,986,151 | 10,437,464 | 10,865,935 | 11,289,475 | 11,714,568 | 12,369,634 | 12,822,896 | 13,127,367 | 13,652,589 | 14,037,950 |
| Expenditure | | | | | | | | | | | |
| Housing Repairs | 3,150,630 | 3,150,630 | 3,292,300 | 3,440,450 | 3,595,270 | 3,757,060 | 3,926,130 | 4,102,810 | 4,287,440 | 4,480,370 | 4,681,990 |
| Capitalisation of Planned Maintenance | - | - | (300,000) | - | - | - | - | - | - | - | - |
| General Management | 743,192 | 743,192 | 765,490 | 788,450 | 812,100 | 836,460 | 861,550 | 887,400 | 914,020 | 941,440 | 969,680 |
| Share of Corporate & Democratic | 134,900 | 134,900 | 138,950 | 143,120 | 147,410 | 151,830 | 156,380 | 161,070 | 165,900 | 170,880 | 176,010 |
| Non-allocated costs charged to HRA | 54,400 | 54,400 | 56,030 | 57,710 | 59,440 | 61,220 | 63,060 | 64,960 | 66,900 | 68,910 | 70,980 |
| Sheltered and Other Services | 879,672 | 879,672 | 906,060 | 933,240 | 961,240 | 990,080 | 1,019,780 | 1,050,370 | 1,081,880 | 1,114,340 | 1,147,770 |
| Council Tax on Void Properties | 1,856 | 1,856 | 1,910 | 1,970 | 2,030 | 2,090 | 2,150 | 2,210 | 2,280 | 2,350 | 2,420 |
| Provision for Bad Debts | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| Capital Charges | 231,107 | 231,107 | 205,798 | 178,733 | 148,048 | 132,155 | 131,915 | 131,685 | 131,455 | 131,225 | 130,995 |
| Net Payment to Government Pool | 2,825,212 | 2,825,213 | 3,112,614 | 3,375,316 | 3,640,460 | 3,892,521 | 4,155,208 | 4,428,352 | 4,712,094 | 5,026,137 | 5,204,100 |
| Depreciation — Dwellings | 1,875,017 | 1,875,017 | 1,931,270 | 1,989,210 | 2,048,890 | 2,110,360 | 2,173,670 | 2,238,880 | 2,308,050 | 2,375,230 | 2,446,490 |
| Loss on disposal of fixed assets | - | - | - | - | - | - | - | - | - | - | - |
| FRS 17 - HRA share | 4,736 | 4,736 | 4,880 | 5,030 | 5,180 | 5,340 | 5,500 | 5,670 | 5,840 | 6,020 | 6,200 |
| Cost of Rebates Remaining in HRA | - | - | - | - | - | - | - | - | - | - | - |
| Provision for Increase in Pensions / Pay & Grading Review | - | - | 22,660 | 23,340 | 24,040 | 24,760 | 25,500 | 26,270 | 27,060 | 27,870 | 28,710 |
| Total Expenditure | 9,908,122 | 9,908,123 | 10,145,462 | 10,944,069 | 11,451,608 | 11,971,376 | 12,528,343 | 13,107,187 | 13,708,419 | 14,352,272 | 14,872,845 |
| HRA Surplus (Deficit) | 67,479 | 78,028 | 292,002 | (78,134) | (162,133) | (256,808) | (158,709) | (484,471) | (581,052) | (698,683) | (834,895) |
| Appropriation to Pensions Reserve | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) |
| Principal Erewash | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) |
| Contribution to Capital Expenditure | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) |
| Movements on Reserve | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) | (18,000) |
| Projected Surplus / (Deficit) | 49,479 | 60,028 | 274,002 | (96,134) | (180,133) | (274,808) | (176,709) | (502,471) | (699,052) | (717,683) | (852,895) |
| HRA General Reserves | | | | | | | | | | | |
| Balance b/f | 2,332,412 | 2,730,328 | 2,790,356 | 3,064,368 | 2,969,223 | 2,768,090 | 2,513,282 | 2,336,573 | 1,834,103 | 1,236,050 | 517,367 |
| Net surplus (deficit) for year (as above) | 49,479 | 60,028 | 274,002 | (96,134) | (180,133) | (274,808) | (176,709) | (502,471) | (699,052) | (717,683) | (852,895) |
| Balance c/f | 2,381,891 | 2,790,356 | 3,064,358 | 2,968,233 | 2,789,090 | 2,513,282 | 2,336,573 | 1,834,103 | 1,235,050 | 517,367 | (335,527) |

Summary

BUDGET and FINANCIAL PLANNING TIMETABLE 2009/2010

| Date | ACTION |
|-----------------|---|
| 26/6/08 | Finance and Management Committee consider budget out-turn and final accounts for 2007/08 and an early indication on how it affects the MTFP. |
| 4/9/08 | Finance and Management Committee consider: <ul style="list-style-type: none"> • Review of MTFP ahead of the 2009/10 budget-round. • Quarterly financial and budget-monitoring 2008/09. |
| Sept/Oct 08 | Members and Service Managers – financial awareness training and briefings undertaken. |
| W/c 29/09/08 | Budget guidance and working papers distributed to service managers for completion of the base budget 2009/10. |
| Oct/Nov 08 | Current services in the base budget evaluated against Council priorities, including opportunities for disinvestment and identification of new spending pressures and efficiencies. |
| 23/10/08 | Finance and Management Committee consider: <ul style="list-style-type: none"> • A review of the financial strategy. • A review of the capital investment strategy. • A charging policy for fees and charges. • Quarterly financial and budget-monitoring 2007/08. |
| W/c 3/11/08 | Submission of completed budget working papers to Finance Services. |
| W/c 10/11/08 | Guidance and forms circulated to Parish Clerks requesting proposed precepts and analysis of concurrent expenses for 2009/10. |
| W/c 24/11/08 | Local Government Finance Settlement – provisional date for draft notification of central government grant support. |
| 28/11/08 | Finance Services complete consolidated Base Budget for 2009/10 and updated MTFP based on proposals. |
| Dec 08 | Training and awareness session provided for Overview and Scrutiny Committee. |
| 4/12/08 | Finance and Management Committee consider: <ul style="list-style-type: none"> • A review of the Asset Management Plan and Disposals Policy. • Quarterly financial and budget-monitoring 2008/09. |
| W/c 8/12/08 | Senior Management Team consider: <ul style="list-style-type: none"> • Council's financial position. • Detailed budget proposals. • Evaluation of base budget, spending pressures and efficiencies. |
| 6/01/09 | Environmental and Development Services Committee consider base budget, spending proposals and efficiencies. |
| 08/01/09 | Housing and Community Services Committee consider base budget, spending proposals and efficiencies. |

BUDGET and FINANCIAL PLANNING TIMETABLE 2009/2010

| Date | ACTION |
|----------------------|---|
| 15/01/09 | Finance and Management Committee consider: <ul style="list-style-type: none"> • Finance Committee's budget and spending bid proposals. • Consolidated (Council) budget proposals 2009/10. • Updated medium-term financial projections. • Overall revenue and capital budget proposals for consultation. • Council Tax Base 2009/10 and Estimated Collection Fund Balance 2008/098. • Quarterly financial and budget-monitoring 2008/09. |
| 21/01/09 | Overview and Scrutiny Committee consider budget proposals. |
| 22/01/09 to 09/02/09 | Period for budget proposals and financial position to be reported to Area Meetings, Parish Forum and other Community Groups. |
| 26/01/09 | Finance and Management Committee consult with Business Community and Partners. |
| W/c 26/01/09 | Local Government Finance Settlement – date for final notification of central government grant support. |
| 5/02/09 | Housing and Community Services Committee consider position of the Housing Revenue Account (HRA). |
| 11/02/09 | Overview and Scrutiny Committee consider: <ul style="list-style-type: none"> • Stakeholder's views. • Final assessment of budget proposals and financial position. |
| 17/02/09 | Finance & Management Committee consider: <ul style="list-style-type: none"> • Quarterly financial and budget-monitoring 2008/09. • Report from Overview and Scrutiny Committee. • Final budget proposals and medium term spending plans. • Treasury Management Strategy 2009/10. • Agree overall budget proposals for 2009/10 for submission to Council. |
| 26/02/09 | Full Council - set budget and council tax levels for 2009/10. |
| 30/04/09 | Finance and Management Committee consider financial and budget monitoring – provisional out-turn 2008/09. |
| June 08 | Finance and Management Committee consider: <ul style="list-style-type: none"> • Final Budget Out-turn 2008/09. • Treasury Management Annual Report 2008/09. • Statement of Accounts 2008/09 (subject to Audit). |