

AUDIT SUB-COMMITTEE: SPECIAL

30<sup>th</sup> May 2018

**PRESENT:-**

**Conservative Group**

Councillor Grant (Chairman) and Councillor Atkin (Vice-Chairman)

**Labour Group**

Councillor Shepherd

AS/1 **APOLOGIES**

Apologies were received from Councillor Dunn (Labour Group)

AS/2 **MINUTES**

The Open Minutes of the Meeting held on 21<sup>st</sup> March 2018 were taken as read, approved as a true record and signed by the Chairman.

AS/3 **DECLARATIONS OF INTEREST**

The Sub-Committee was informed that no declarations of interest had been received.

AS/4 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE PUBLIC PURSUANT TO COUNCIL PROCEDURE RULE NO.10**

The Sub-Committee was informed that no questions from members of the public had been received.

AS/5 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11**

The Sub-Committee was informed that no questions from Members of the Council had been received.

**MATTERS DELEGATED TO SUB-COMMITTEE**

AS/6 **INTERNAL AUDIT PROGRESS REPORT**

The internal auditor presented the report to the Sub-Committee, highlighting the current position relating to the whistleblowing matters, with 19 of the 24 recommendations now in place, the remaining five due to be implemented

later in the year. The Chairman requested that a written interim report be submitted to the next meeting of the Sub-Committee on 25<sup>th</sup> July 2018.

Members also raised comments or queries relating to audit tasks being carried forward, the postal voting system and any General Data Protection Regulation impact upon it, as well as the dry waste recycling contract. All matters were addressed by the internal auditor and the Strategic Director (Corporate Resources).

**RESOLVED:-**

***Members considered the report of the Audit Manager and agreed that any issues identified be referred to the Finance and Management Committee or subject to a follow-up report as appropriate.***

AS/7 **INTERNAL AUDIT ANNUAL REPORT 2017/18**

The internal auditor presented the report to the Sub-Committee, expanding on the reasons why the audit opinion was an 'Adequate system of internal control subject to reservations'.

**RESOLVED:-**

***Members considered and noted the Annual Internal Audit Opinion for 2017/18.***

AS/8 **LOCAL CODE OF CORPORATE GOVERNANCE REVIEW 2017/18**

The Strategic Director (Corporate Resources) presented the report to the Sub-Committee, detailing the work to be undertaken on ethical matters and a peer review planned for the later part of 2018/ .

**RESOLVED:-**

***1.1 Members approved the review against the Council's Local Code of Corporate Governance for 2017/18, as detailed in Appendix 1 to the report.***

***1.2 Members approved progress on the work plan to strengthen the Council's governance arrangements in 2017/18, as detailed in Appendix 2 to the report.***

AS/9 **ANNUAL GOVERNANCE STATEMENT 2017/18**

The Strategic Director (Corporate Resources) presented the report to the Sub-Committee.

Councillor Atkin relayed his disappointment with the number of internal matters reviewed by the Overview and Scrutiny Committee. Councillor Shepherd made

reference to the Scheme of Delegation and issues relating to the Infinity Garden Village project, as raised at Annual Council earlier in the month, feeling unable to support this item.

**RESOLVED:-**

***1.1 Members approved the referral of the Annual Governance Statement (AGS) for the year ended 31st March 2018 to the Finance and Management Committee and agreed to its publication within the Statement of Accounts for 2017/18.***

***1.2 Members authorised the Leader of the Council and Chief Executive Officer to sign the Annual Governance Statement.***

Councillor Shepherd requested that his vote against this item be recorded.

AS/10 **ANTI-FRAUD AND CORRUPTION PLAN 2018/19**

The Strategic Director (Corporate Resources) presented the report to the Sub-Committee.

The Chairman queried the current volume of Right-to-Buy applications, to which the Strategic Director (Corporate Resources) informed the Sub-Committee that in the region of 20 applications were still being processed per annum.

**RESOLVED:-**

***Members approved the proposed Anti-Fraud and Corruption Plan, as attached to the report.***

AS/11 **COMMITTEE WORK PROGRAMME**

**RESOLVED:-**

***Members considered and approved the updated work programme.***

AS/12 **LOCAL GOVERNMENT ACT 1972 (AS AMENDED BY THE LOCAL GOVERNMENT [ACCESS TO INFORMATION] ACT 1985)**

**RESOLVED:-**

***That in accordance with Section 100 (A)(4) of the Local Government Act 1972 (as amended) the press and public be excluded from the remainder of the Meeting as it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that there would be disclosed exempt information as defined in the paragraph of Part I of the***

***Schedule 12A of the Act indicated in the header to each report on the Agenda.***

**MINUTES**

***The Exempt Minutes of the Meeting held on 21<sup>st</sup> March 2018 were received.***

**EXEMPT QUESTIONS BY MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11**

***The Sub-Committee was informed that no questions from Members of the Council had been received.***

The Meeting terminated at 4.55pm.

COUNCILLOR J GRANT

CHAIRMAN