

AUDIT CATEGORY - 2010/11	Audit Team	Specialists	%	Qtr 1	Qtr2	Qtr3	Qtr4	Total
Total available days less leave etc	620	20						
PLANNED AUDITS/ AUDIT WORK	325		50.78%	44				44
CONTINUOUS AUDIT	4	4	0.63%	3				3
SPECIALIST AREAS:								
a. Computer	50	20	10.94%	7				7
b. Contract	44		6.88%	9				9
MANAGEMENT	60		9.38%	8				8
OTHER:	40		6.25%	6				6
TRAINING, FURTHER EDUCATION	40		6.25%	6				6
ROUTINE DUTIES	57		8.91%	17				17
TOTAL	620	20	100.00%	100	0	0	0	100
				15.63%	0.00%	0.00%	0.00%	15.63%
						Checksum	15.63%	100

Audit Reports	Type	Risk Rating	Risk Rating post Audit work	Recommendations			Time taken in days		Review date	Notes
				High	Medium	Low	Plan	Actual		
Council Tax	System	Low	No Change			0	10	14	Apr-11	Two audits undertaken in 2009/10
N.I.D.R.	System	Low	No Change	1		1	10	16	May-11	Two audits undertaken in 2009/10
Etwell Leisure Centre	Establishment	High	Low	3	10	13	16	18	Dec-10	The annual audit was extended to examine the New Centre in two phases, this being the first phase.

Internal Audit Performance Indicators 2010/11

Quarter 1 (April - June 2010)

APPENDIX 2

Performance Area	Measure	Previous Year actual	Target 2010/11	Profile Target 1st Qtr	Actual Qtr 1	On Target	Remarks
Productivity (see below)	Completion of Audit Plan (percentage)	90.78%	90.00%	22.50%	15.63%	No	Senior Auditor retired in April leading to a reduction in resources in the short term.
	Operational Audit Time (days)	n/a	578	144	86		
	Non Productive time (days)	n/a	42	10	14		
	Draft audit reports issued within 10 working days of completion of audit fieldwork (percentage)	n/a	70.00%		50.00%	No	Although this indicator has been in use for a number of years, it has been hardened this year.
	Final audit reports issued within 20 working days of completion of audit fieldwork (percentage)	n/a	70.00%		50.00%	No	As above. In both cases we are looking to improve on these as the year progresses.
Financial	Total cost of Unit for the Year (£)	£176,953	£178,100		Year end		
	Cost per Audit Day (£)	£285	£287		Year end		
Staff	Sickness Absence (percentage)		n/a		0.00%		
	Staff Turnover (percentage)		n/a		Year end		
	Training Days	6.00%	6.00%		Year end		Percentage of audit plan allocated to Training and Seminars
Quality	Client satisfaction score (percentage)	n/a	80.00%		Year end		
	Recommendations agreed for implementation (percentage)	100.00%	100.00%		100.00%	Yes	
	Recommendations actually implemented (percentage)	100.00%	100.00%		79.00%	No	
	Number of Customer Complaints	0	0		0		
Operational Audit Time	Total days available Less Public Holidays, Leave	620	620	155	100		
	Less non-operational days (as below)	n/a	-42	-11	-14		
				Total - Operational Audit Days	620 578 144 86		
Non Productive Time	Sickness	n/a	0		0		
	Union Duties	n/a	18	2	9		
	Non-audit Duties	n/a	20	5	5		
	Other	n/a	4	1	0		
				Total - Non-operational Audit Days	n/a 42 8 14		