



Service Delivery DMT Risk Register

Risk Code	Theme/aim	Risk description	Likelihood	Impact	Risk Treatment	Mitigating action	Responsible officer
Strategic Risks							
SD1	Outcomes Maintain Financial Health	Loss of income to the Housing Revenue Account through 1% rent reduction for general needs tenancies until 2020/21.	Low	High	Treat 8	Income policies/processes are being revised. Invested in I.T. solutions to maximise opportunities to collect income and support those in financial difficulty. Increased focus on collection of rent and other housing debt.	Housing Services Manager
SD2	Outcomes Maintain Financial Health	Failure to collect financial contributions or to enforce the delivery of obligations within Section 106 Agreements.	Low	High	Treat 8	Implementation of new software is underway. The Planning Services review sets out the role of Planning Policy in monitoring and administering Section 106 agreements. A full review of roles and working procedures to be completed in 2018.	Planning Services Manager

SD3	<p>People Protect and help support the most vulnerable, including those affected by financial changes.</p>	Failure to comply with basic safety standards in flats/blocks with communal areas.	High	High	Treat 16	<p>Process underway to update safety procedures for Fire, Gas, Electricity, Legionella and Asbestos to ensure the Council has appropriate controls in place to manage risks. Progress made so far includes:</p> <ul style="list-style-type: none"> • Fire Safety contractor appointed • Gas servicing 100% compliant • Electrical testing contractor appointed <ul style="list-style-type: none"> • Legionella - sheltered schemes testing in place, void properties shower heads/deadlegs removed • Asbestos contract in procurement 	Housing Services Manager
SD4	<p>People Protect and help support the most vulnerable, including those affected by financial changes.</p>	Loss of income to the Housing Revenue Account through full roll out of Universal Credit from November 2018.	Medium	High	Treat 12	<p>Welfare Reform Group, including key internal/external partners, meets on a monthly basis to manage roll-out against an agreed action plan.</p> <p>Invested in I.T. solutions to maximise opportunities to collect income and support those in financial difficulty.</p> <p>Allocations Policy review to take place during 2018 will take into account benefit entitlements of different client groups.</p>	Housing Services Manager

SD5	<p>People Protect and help support the most vulnerable, including those affected by financial changes.</p>	<p>Sustainability of the voluntary sector – Recent NHS funding cuts have taken place which will impact on several adult health and wellbeing related support services run by the Council for Voluntary Service.</p>	High	Medium	Treat 12	<p>Council for Voluntary Service (CVS) currently campaigning against cuts and has a short window to evidence the impact of the cuts.</p> <p>Council annually supports CVS through voluntary sector funding.</p> <p>Continue partnership working with CVS and rest of voluntary sector.</p>	Communities Manager
SD6	<p>People Increase levels of participation in sport, health, environmental and physical activities.</p>	<p>Reduction of Council funding into Sport and Health Service Unable to source external funding to service.</p> <p>Reserve fund being depleted for sources other than Sport and Health service delivery.</p>	Medium	Medium	Treat 9	<p>Forward budget planning over a number of years.</p> <p>Lobby to maintain current funding contribution that the Council makes towards the service and for an increased contribution if Elected Members want the current levels of service to be maintained.</p> <p>Continually seek external funding opportunities.</p>	Sport and Health Partnership Manager
SD7	<p>Place Facilitate and deliver a range of integrated and sustainable housing and community infrastructure.</p>	<p>Not achieving the maximum delivery of affordable homes with the resources/options for delivery available.</p>	Low	Medium	Treat 6	<p>Homes and Communities Agency (HCA) grant programme 2017-21 through the Shared Ownership and Affordable Homes Programme (SOAHP).</p> <p>Negotiation of 30% affordable homes on all new sites.</p> <p>Enable deliver of 100% affordable sites in conjunction with Registered Providers.</p> <p>Support Registered Providers through bidding process.</p>	Strategic Housing Manager/ Planning Services Manager

						<p>Utilise Commuted Sums/Right to Buy receipts where possible and Housing Revenue Account (HRA) reserves and headroom.</p> <p>New build Council Housing.</p>	
SD8	<p>Place Facilitate and deliver a range of integrated and sustainable housing and community infrastructure.</p>	<p>Insufficient supply of affordable homes to meet Council needs relating to allocations and homelessness.</p>	Low	Medium	Treat 6	<p>Up-to-date housing needs data.</p> <p>Ensure delivery of affordable units through Section 106 and planning conditions.</p> <p>Work with Homes England to deliver Council new builds.</p> <p>Review Allocations Policy to reflect need and available stock.</p> <p>Acquisition of on-site affordable homes directly from developer.</p>	Strategic Housing Manager
SD9	<p>Place Support provision of cultural facilities and activities.</p>	<p>Failing Infrastructure at Rosliston Forestry Centre.</p>	Medium	Medium	Treat 9	<p>Review condition survey as part of strategic planning.</p> <p>Make invest to save business cases.</p> <p>Improve Project Portfolio Management (PPM).</p> <p>Engage tenants.</p> <p>Keep senior management team informed.</p> <p>Six monthly review of risk.</p>	Cultural Services Manager

SD10	Place Facilitate and deliver a range of integrated and sustainable housing and community infrastructure.	Failure to meet Housing Delivery targets set out in the five year supply.	Low	High	Treat 8	Monitoring/review of performance carried out in quarter one. Active pursuit of schemes and opportunities. Develop action plan.	Planning Services Manager
SD11	Place Facilitate and deliver a range of integrated and sustainable housing and community infrastructure.	Failure of IT infrastructure that supports Planning Systems, LLPG and Land Charges.	High	High	Treat 16	Working with IT Services to ensure the most fit for purpose solution is selected. Procurement of a new software system underway. Business Case considered and approved by Corporate Change Management Group (CCMG) and by Finance and Management Committee on July 26. This will provide the funding to support the implementation of a new system as quickly as possible.	Planning Services Manager
NEW SD12	People Protect and help support the most vulnerable, including those affected by financial changes.	Potential funding shortfall for the Community Safety Partnership. The Safer South Derbyshire Partnership currently obtains annual funding totalling £60,000 from the Derbyshire Police and Crime Commissioner and Derbyshire Police.	Low	Medium	Treat 6	There is no long term commitment to continue this funding. The Council is in the second year of a three year funding agreement with the Police and Crime Commissioner (PCC) for £25,000 per year Community Safety Funding. The Council receives £35,000 per year Basic Command Unit funding from the Chief Superintendent. This is received annually with no long-term commitment.	Communities Manager

						If the funding finished alternative ways of funding schemes such as the Safer Homes Scheme and the Safer Neighbourhoods Grant scheme would need to be sought.	
NEW SD13	<p>Place Support provision of cultural facilities and activities throughout the District.</p> <p>People Increase levels of participation in sport, health, environmental and physical activities.</p>	Failure to deliver against external funder requirements at the Melbourne Sports Park (MSP) - particularly in relation to the sink fund for the artificial grass pitch/ongoing drainage issues.	High	High	Treat 16	<p>Regular Steering Group meetings. MSP Board has acknowledged issue and sought Council support.</p> <p>Monitoring and evaluation meeting with key funder scheduled.</p> <p>Specialist consultants have been engaged and have identified optimum drainage solution.</p> <p>Melbourne Sporting Partnership and Melbourne Rugby Club engaged in partnership work about requirements and solutions.</p> <p>Committee report outlining issues and requesting investment to resolve prepared.</p>	Cultural Services Manager/ Sport and Health Partnership Manager
NEW SD14	<p>People Reduce the amount of waste sent to landfill.</p>	Impact on delivery rounds of diverting all residual waste to Sinfin Waste Plant.	High	Medium	Treat 12	<p>Ongoing discussions with Derbyshire County Council (DCC) to allow for some waste deliveries to continue into current site.</p> <p>Amendments to collection rounds to minimise impact on costs, with no effect on residents.</p>	Direct Services Manager