

CORPORATE RESOURCES RISK REGISTER (AS AT DECEMBER 2018)

Risk	Rating and Current Position	Risk Treatment	Mitigating Actions	Change since last quarter
<p>1 Universal Credit (UC) – the implementation of UC could have an impact on resources in Benefits and Customer Services.</p>	<p>Currently being rolled out but impact on local resources currently limited.</p> <p>The potential impact could be greater from the next stage of implementation, but this has now been delayed by the Government.</p>	<p>Treat the risk through continuous action and review.</p>	<p>The impact of welfare reform to date has been incremental and has not had any significant impact directly on staffing resources.</p> <p>As expected, UC was rolled out to all new claimants in South Derbyshire from November 2018. The roll out for existing claimants was expected to commence in July 2019 and be fully implemented by December 2023 for all working age claimants. The Government are currently in the process of suspending this timetable pending a pilot to migrate 10,000 people from “legacy benefits” onto UC as a test and learn approach.</p> <p>It is considered that a full roll-out of UC would likely reduce the amount of housing benefit claims being processed. In this case, it is anticipated that spare capacity will be utilised to support claimants as they switch to and manage UC. Staff levels will be kept under review.</p>	<p>This risk has been downgraded from Amber to Green given the slower roll out of UC and the suspension of the planned timetable for full implementation.</p>
<p>2 Fraudulent activities – the possibility of fraud being undetected.</p>	<p>Fraud is being prevented and detected. However, national studies show fraud is leading to a significant loss of resources within the Public Sector as a whole.</p>	<p>Treat the risk through continuous action and review.</p>	<p>The Council works in partnership with other Derbyshire authorities who share a software package that enables data matching in Council Tax and Business Rates.</p> <p>Single Person Discount checks are also regularly undertaken.</p> <p>In addition, the Council has a Shared Service Arrangement with Derby City Council to share a dedicated Fraud Unit. This Unit is resourced to prevent and detect fraud across all services.</p> <p>The performance of the Service is measured and reported to the Committee on a quarterly basis.</p>	<p>No change to rating or treatment.</p>

<p>3 The Directorate is responsible for providing a procurement service. Given the specialised and regulatory nature, there is a risk of not having resources to enable good quality advice and support for Services.</p>	<p>The Council does not have its own procurement department but currently has access to support and advice so the likelihood is considered low.</p>	<p>Treat the risk through continuous action and review</p>	<p>The Council is part of a Shared Service Arrangement with other Derbyshire Agencies. The Service commenced in January 2018 for a three year term. As per the Service Level Agreement, performance is monitored on an ongoing basis.</p>	<p>No change to rating or treatment.</p>
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