

REPORT TO:	FINANCE AND MANAGEMENT COMMITTEE	AGENDA ITEM: 7
DATE OF MEETING:	14th MARCH 2019	CATEGORY: DELEGATED
REPORT FROM:	SENIOR LEADERSHIP TEAM	OPEN
MEMBERS' CONTACT POINT:	KEVIN STACKHOUSE (01283 595811) Kevin.stackhouse@south-derbys.gov.uk	DOC: S/council performance/corporate plan 2016 – 2021/performance reporting 2018 19/quarter 3
SUBJECT:	CORPORATE PLAN 2016-21: PERFORMANCE REPORT (1 OCTOBER – 31 DEC 2018)	
WARD (S) AFFECTED:	ALL	TERMS OF REFERENCE: FM 13

1.0 Recommendations

- 1.1 That the Committee considers progress against performance targets set out in the Corporate Plan.
- 1.2 That the Risk Register and Action Plan for the Committee's services are reviewed.
- 1.3 That the updated risk matrix as detailed in the report to record risks is approved for implementation for future reporting across all Policy Committees and Council Services.

2.0 Purpose of Report

- 2.1 To report progress against the Corporate Plan for the period 1 October to 31 December 2018 under the themes of People, Place, Progress and Outcomes.

3.0 Detail

- 3.1 The Corporate Plan 2016 – 2021 was approved following extensive consultation into South Derbyshire's needs, categorising them under four key themes: People, Place, Progress and Outcomes. The Corporate Plan is central to the Council's work – setting out the vision and values for South Derbyshire and defining priorities for delivering high-quality services.
- 3.2 This Committee is largely responsible for overseeing the delivery of successful Outcomes. These are as follows:
 - Maintain financial health
 - Achieve proper Corporate Governance
 - Maintain customer focus
 - Be aware of and plan for financial, legal and environmental risks
 - Promote and enable active democracy
 - Enhance environmental standards
 - Maintain a skilled workforce
 - Promote inclusion
- 3.3 Of the 14 measures and projects under the jurisdiction of the Finance and Management Committee, ten are showing green, one is classed as grey and three are red.

- 3.4 More information can be found in the Performance Board in **Appendix A**. A detailed breakdown of performance for Finance and Management is available in the Success Areas and Performance Action Plan documents (**Appendices B and C respectively**).

Risk Registers

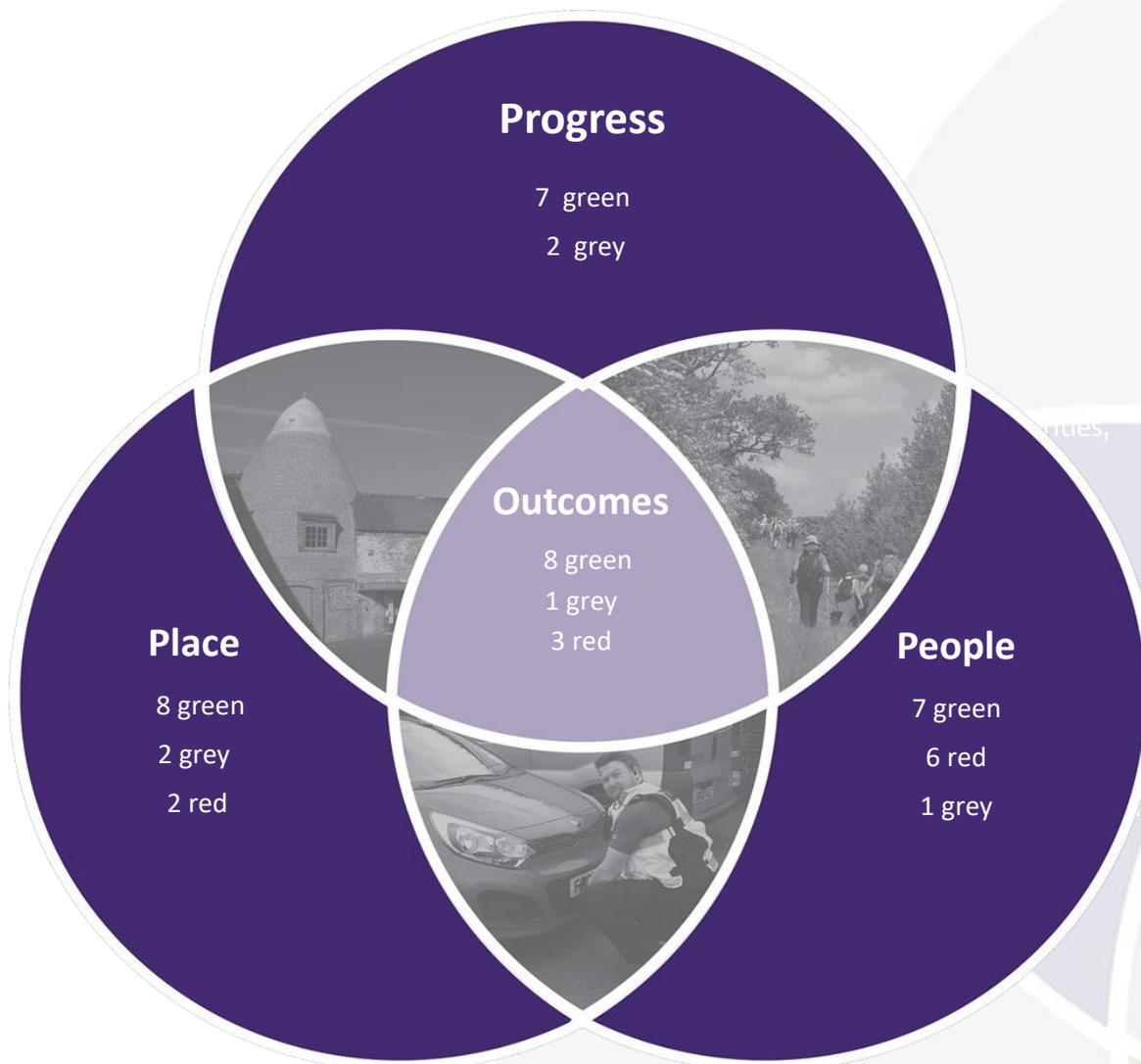
- 3.5 The Risk Registers for the Committee's services are detailed in **Appendices D, E and F**. This includes the registers and risk mitigation plans for the Corporate Resources and Chief Executive's Directorate, together with the Council's Strategic Risk Register. These were amended from quarter two reporting onwards to make it easier to understand and to enable a consistent format for assessing and reporting risk across Policy Committees.
- 3.6 Each risk has been identified and assessed against Corporate Plan aims and are considered to be the most significant risks to the Council in achieving its main objectives. The Risk Registers detail a matrix to show how each identified risk has been rated.
- 3.7 The Action Plan describes each risk, as well as mitigation measures in place and planned actions to minimise the likelihood of the risk occurring and/or its impact. The Action Plan also indicates whether the rating of a risk has changed since the previous quarter. The Committee is asked to review and challenge the risks identified.
- 3.8 There are two notable changes since the last Quarter as detailed below:

- **Universal Credit (UC)**: This has been downgraded from **Amber** to **Green** due to a slower roll-out than originally planned by the Government which to-date, has had a lower impact compared to that anticipated on the deployment of resources in Revenues and Benefits. Although full implementation has been suspended by the DWP pending a pilot of transferring 10,000 legacy benefit cases to UC, the Government is still working to full roll-out by 2023. The position will be kept under review.
- **The UK's withdrawal from the EU**: This risk has been upgraded to **Red** due to the emergence of a "no deal Brexit" during Quarter 3. Council Officers have attended multi-agency meetings in Derbyshire and the wider East Midlands to assess the potential impact and planned actions with other agencies. The Council has also convened meetings of its Business Continuity Group to review the immediate effect on the Council and planned actions. The situation is being kept under review and an update will be provided at the Committee meeting.

Updated Risk Matrix

- 3.9 During 2018, the Council's Risk Management Framework was reviewed against best practice guidance. This resulted in some changes to the assessment, recording and reporting of risks from Quarter 2. The Council's insurers have since released an updated risk matrix to their clients and this has slightly changed the assessment and recording of risk in the coloured matrix.
- 3.10 The current matrix and the updated matrix which is proposed are shown in **Appendix G**. The updated matrix provides an "**amber barrier**" between the red zone and the green zone.

4.0 Overall Council performance – Quarter three (October 1 to December 31, 2018)



There are 30 green, six grey and 11 red projects and measures for the Council in quarter three.

5.0 Financial and Corporate Implications

5.1 None directly.

6.0 Community Implications

6.1 The Council aspires to be an “excellent” Council in order to deliver the service expectations to local communities. This report demonstrates how priorities under the People, Place and Progress and Outcomes themes contribute to that aspiration.

7.0 Appendices

- Appendix A – Performance Board
- Appendix B – Finance and Management: Success Areas
- Appendix C – Finance and Management: Action Plan
- Appendix D – Chief Executive’s Risk Register

Appendix E – Corporate Resources Risk Register
Appendix F – Strategic Risk Register
Appendix G – Revised Risk Matrix for approval

